

**FORM NO. MGT 9  
EXTRACT OF ANNUAL RETURN**

**As on financial year ended on 31.03.2022**

**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company  
(Management & Administration) Rules, 2014.**

**I. REGISTRATION & OTHER DETAILS:**

1.	CIN	U65191KA1995PLC057884
2.	Registration Date	09/08/1995
3.	Name of the Company	IIFL SAMASTA FINANCE LIMITED
4.	Category/Sub-category of the Company	Company Limited by Shares
5.	Address of the Registered office & contact details	110/3, Lalbagh Main Road, Krishnappa Layout, Bangalore-560027 Contact Number: 08042913540
6.	Whether listed company	No, Debt Listed
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Link Intime India Pvt. Ltd 247 Park, C 101 1st Floor, LBS Marg, Vikhroli (W), Mumbai - 400 083 Vishwas Attavar- +91 22 49186000

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Financing Services - Micro Finance Lending	64990	96.50%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:**

S. No	Name and Address of the Company	CIN	CATEGORY	Percentage of Shares Held	Applicable Section
1	IIFL Finance Limited (Formerly known as IIFL Holdings Limited) IIFL House, Sun Infotech park, Road No.16V, Plot No. B-23, Thane Industrial Area, Wagle Estate Thane MH 400604	L67100MH1995PLC093797	Holding Company	74.41	2 (46)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

**A) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of Change during the Year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>(A) Promoters</b>									
<b>(1) Indian</b>									
(a) Individual/HUF	1680840	-	1680840	0.53	1680840	-	1680840	0.34	-0.19
(b) Central Govt	--	-	--	--	--	-	--	--	--
(c) State Govt (s)	--	-	--	--	--	-	--	--	--
(d) Bodies Corp.	317886356	-	317886356	99.09	495296210	-	495296210	99.41	+0.32
(e) Banks / FI	--	-	--	--	--	-	--	--	--
f) Any other (relative of promoters)	1246138	2	1246140	0.39	1246138	2	1246140	0.25	-0.14
<b>Sub-Total (A) (1)</b>	<b>320813334</b>	<b>2</b>	<b>320813336</b>	<b>100</b>	<b>498223188</b>	<b>2</b>	<b>498223190</b>	<b>100</b>	<b>---</b>
<b>(2) Foreign</b>									
(a) NRIs – Individuals	--	-	--	--	--	-	--	--	--
(b) Other – Individuals	--	-	--	--	--	-	--	--	--
(c) Bodies Corp.	--	-	--	--	--	-	--	--	--
(d) Banks / FI	--	-	--	--	--	-	--	--	--
(e) Any Other....	--	-	--	--	--	-	--	--	--
<b>Sub-Total (A) (2)</b>	<b>--</b>	<b>-</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>-</b>	<b>--</b>	<b>--</b>	<b>--</b>
<b>Total Shareholding of Promoter (A) = (A) (1) + (A) (2)</b>	<b>320813334</b>	<b>2</b>	<b>320813336</b>	<b>100</b>	<b>498223188</b>	<b>2</b>	<b>498223190</b>	<b>100</b>	<b>---</b>
<b>(B) Public Shareholding</b>									
<b>(1) Institutions</b>									
(a) Mutual Funds	--	-	--	--	--	-	--	--	--
(b) Banks/FI	--	-	--	--	--	-	--	--	--
(c) Central Govt	--	-	--	--	--	-	--	--	--
(d) State Govt (s)	--	-	--	--	--	-	--	--	--

(e) Venture Capital funds	--	-	--	--	--	-	--	--	--
(f) Insurance Companies	--	-	--	--	--	-	--	--	--
(g) FIIs	--	-	--	--	--	-	--	--	--
(h) Foreign Venture Capital Funds	--	-	--	--	--	-	--	--	--
(i) Others (Specify)	--	-	--	--	--	-	--	--	--
<b>Sub-Total (B)(1)</b>	--	-	--	--	--	-	--	--	--
<b>(2) Non- Institutions</b>									
<b>(a) Bodies Corp</b>									
i. Indian	--	-	--	--	--	-	--	--	--
ii. Overseas	--	-	--	--	--	-	--	--	--
<b>(b) Individuals</b>	--	-	--	--	--	-	--	--	--
i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	--	-	--	--	--	-	--	--	--
ii. Individual shareholders holding nominal share capital in excess of Rs 1 lakh	--	-	--	--	--	-	--	--	--
<b>(C) Others (Specify)</b>									
<b>Sub-Total (B)(2)</b>	--	-	--	--	--	-	--	--	--
<b>Total Public Shareholding = (B) (1) + (B) (2)</b>	--	-	--	--	--	-	--	--	--
<b>C. Shares held by custodian for GDRs &amp; ADRs</b>	--	-	--	--	--	-	--	--	--
<b>Grand Total (A+B+C)</b>	<b>320813334</b>	<b>2</b>	<b>320813336</b>	<b>100</b>	<b>498223188</b>	<b>2</b>	<b>498223190</b>	<b>100</b>	<b>---</b>

**B) Shareholding of Promoters**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Changes during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. Shivaprakash D.	345000	0.11	--	345000	0.07	--	(0.04)
2	Mr. Venkatesh N	1335840	0.42	--	1335840	0.27	--	(0.15)
3	IIFL Finance Limited	237683022	74.09	--	370740413	74.41	--	+0.32

**C) Change in Promoters' Shareholding (please specify, if there is no change)**

Particulars	Shareholding pattern of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year:			---	---
1. Mr. Shivaprakash D.	345000	0.11		
2. Mr. Venkatesh N	1335840	0.42		
3. IIFL Finance Limited	237683022	74.09		
Changes in Promoters Shareholding during the year:			---	---
1. Mr. Shivaprakash D.	---	(0.04)	---	---
2. Mr. Venkatesh N	---	(0.15)	---	---
3. IIFL Finance Limited	133057391	+0.32	---	---
At the End of the year:			---	---
1. Mr. Shivaprakash D.	345000	0.07		
2. Mr. Venkatesh N	1335840	0.27		
3. IIFL Finance Limited	370740413	74.41		

**D) Shareholding Pattern of top ten Shareholders  
(Other than Directors, Promoters and Holders of GDRs and ADRs)**

Particulars	Shareholding at the beginning & End of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
NIL				

**E) Shareholding of Directors and Key Managerial Personnel:**

Particulars	Shareholding pattern of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year: 1. Mr. Shivaprakash D. 2. Mr. Venkatesh N	345000 1335840	0.11 0.42	--	--
Changes in Shareholding during the year: 1. Mr. Shivaprakash D. 2. Mr. Venkatesh N	--	(0.04) (0.15)	--	--
At the End of the year: 1. Mr. Shivaprakash D. 2. Mr. Venkatesh N	345000 1335840	0.07 0.27	--	--

**Note:** There is a change in the percentage of shareholding of Mr. Shivaprakash D and Mr. Venkatesh N due to the allotments made during the year.

**V) INDEBTEDNESS:**

Indebtedness of the Company including interest outstanding/accrued but not due for payment

<b>Particulars</b>	<b>Secured Loans excluding deposits</b>	<b>Unsecured Loans</b>	<b>Deposits</b>	<b>Total Indebtedness</b>
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	33,435,199,949	1,050,000,000		34,485,199,949
ii) Interest due but not paid	1,088,087,547	282,485,913		1,370,573,460
iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	<b>34,523,287,496</b>	<b>1,332,485,913</b>	<b>0</b>	<b>35,855,773,409</b>
<b>Change in Indebtedness during the financial year</b>				
* Addition	40,037,608,817			39,33,29,95,989
* Reduction	-22,418,529,321			24,43,97,22,198
<b>Net Change</b>				
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	51,054,279,446	1,050,000,000		52,104,279,446
ii) Interest due but not paid	397,746,849	407,604,342		805,351,191

iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	<b>51,452,026,294</b>	<b>1,457,604,342</b>	<b>0</b>	<b>52,909,630,636</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD and WTD		Total Amount
		Mr. Venkatesh N	Mr. Shivaprakash D	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	15,566,800	7,303,600	22,870,400
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			
2	Stock Option			
3	Sweat Equity			
4	Commission - as % of profit - others, specify...			
5	Others, please specify			
	<b>Total (A)</b>	15,566,800	7,303,600	22,870,400
	<b>Ceiling as per the Act</b>			

### B. Remuneration to other directors

SN.	Particulars of Remuneration	Name of Directors				Total Amount
		Mr. B. Seshadri	Mr. R. Annamalai	Mr. V. Ampalakkat	Ms. Malini	
1	Independent Directors					
	Fee for attending board committee meetings	293,001	270,778	293,001	185,888	1,042,668
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
	<b>Total (1)</b>	293,001	270,778	293,001	185,888	1,042,668

2	Other Non-Executive Directors		-	-	-	-
	Fee for attending board committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
	<b>Total (2)</b>	-	-	-	-	-
	<b>Total (B)=(1+2)</b>	-	-	-	-	-
	<b>Total Managerial Remuneration</b>	293,001	270,778	293,001	185,888	1,042,668
	<b>Overall Ceiling as per the Act</b>					

**C. Remuneration to other Key Managerial Personnel:**

SN	Particulars of Remuneration	Key Managerial Personnel			
		Company Secretary		Chief Financial Officer	Total
		Pramod Kulkarni	Manoranjan Biswal	Anantha Kumar T	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	467,060	1,022,500	3,471,473	4,961,033
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission				
	- as % of profit				
	others, specify...				
5	Others, please specify				
	<b>Total</b>	467,060	1,022,500	3,471,473	4,961,033



**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: Nil**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	<b>NONE</b>				
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty	<b>NONE</b>				
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	<b>NONE</b>				
Punishment					
Compounding					

**By Order of the Board of Directors**

**SD/-  
Venkatesh N  
Managing Director  
DIN: 01018821**

**SD/-  
Shivaprakash D  
Wholetime Director  
DIN: 02216802**

**Place: Bengaluru  
Date: April 23, 2022**