

Date: January 19, 2023

To,
The Manager
Listing Department
The BSE Ltd
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001
Maharashtra, India
BSE Scrip Code – 973128

SDD Compliance Certificate for the Quarter ended December 31, 2022.

Pursuant to the Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) compliance certificate for the quarter ended December 31, 2022 certified by the compliance officer of the company in the format as specified in BSE notice number 20221028-16 dated October 28, 2022.

For IIFL SAMASTA FINANCE LIMITED

Manoranjan Biswal
Company Secretary and Compliance Officer

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Mr. Manoranjan Biswal, Compliance Officer of IIFL Samasta Finance Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that.

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. All the UPSI disseminated in the previous quarter have been captured in the Database.
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 event during the quarter ended and has captured that 1 required event.

I would like to report that no noncompliance was observed in the previous quarter and thereby there is no necessity to take remedial action(s) in this regard.

For IIFL SAMASTA FINANCE LIMITED

Manoranjan Biswal
Company Secretary and Compliance Officer

Place: Bangalore
Date: January 19, 2023